

PATENT Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3746
William Dale Jones) Examiner: William H. Rodriguez
Serial No.: 10/630,649	TRANSMITTAL LETTER
Filed: July 29, 2003).) 162 N. Wolfe Road) Sunnyvale, CA 94086
For: CONTROL OF FLUID FLOW IN THE PROCESSING OF AN OBJECT WITH A FLUID) (408) 530-9700)
) Customer No.: 28960
MS: Amendment	
Commissioner for Detents	

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 10-11-06

Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a)) I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S.

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

OWENS LLP.

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PATENT Attorney Docket No.: <u>SSI-08500</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN**

THE PROCESSING OF AN OBJECT WITH A FLUID

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Group Art Unit: 3746
 Examiner: William H. Rodriguez
 SUPPLEMENTAL INFORMATION
 DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,190,459 B1;
- European Publication No. EP 0743 379 A1;
- PCT Publication No. WO 01/22016 A1; and
- PCT Publication No. WO 02/09147 A2.

PATENT Attorney Docket No.: <u>SSI-08500</u>

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-11-06

Thomas B. Haverst

Reg. No.: 32,571

Attorneys for Applicant

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HAVERSTOCK & OWENS LL

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PATENT Attorney Docket No.: <u>SSI-08500</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3746 In re Application of: Examiner: William H. Rodriguez William Dale Jones **CERTIFICATION FOR** Serial No.: 10/630,649 SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** Filed: July 29, 2003 CFR § 1.97(e) CONTROL OF FLUID FLOW IN For: 162 N. Wolfe Road THE PROCESSING OF AN Sunnyvale, CA 94086 **OBJECT WITH A FLUID** (408) 530-9700 Customer No.: 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached four (4) references contained in this supplemental information disclosure statement were cited within an European Search Report mailed on August 17, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

PATENT

Attorney Docket No.: SSI-08500

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-11-06

Reg. No.: 32,571

Attorneys for Applicant

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FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: SSI-08500

Serial No.: 10/630,649

INFORMA

ON DISCLOSUBE STATEMENT BY APPLICANT (Use Several Speets If Necessary)

Applicant: William Dale Jones

Group Art Unit: 3746

Filing Date: July 29, 2003 (37 CFR § 1.98(b)) **U.S. PATENT DOCUMENTS** Serial / Patent Number Examiner Initials Class Issue Date Applicant / Patentee Subclass Filing Date 12/15/1998 118 715 6,190,459 B1 02/20/2001 Takeshita et al. AA ΑB AC AD ΑE ΑF AG ΑH ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number Country / Patent Office Class Subclass **Publication Date** Yes No Х EP 0 743 379 A1 11/20/1996 **EPO** C30B 25/14 ΑK 3/00 Х AL WO 01/22016 A1 03/29/2001 **PCT** F26B H01L Х WO 02/09147 A2 01/31/2002 **PCT** AM ΑN ΑO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP AQ AR AS AT ΑU ΑV ΑW AX ΑY ΑZ Date Considered: Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **EXAMINER:**